



*JFW*

## AMENDMENT TRANSMITTAL LETTER

Docket No.  
**FIS920040064US1**  
Conf #5315

Application No.  
**10/711,316**

Filing Date  
**09/10/2004**

Examiner  
**Christopher H. Bond**

Art Unit  
**3714**

Applicant(s): **Edward E. Kelley et al.**

Invention: **SYSTEM AND METHOD FOR PREDICTING RESULTS OF CHANCE EVENTS OVER A USER-SELECTED NUMBER OF EVENTS**

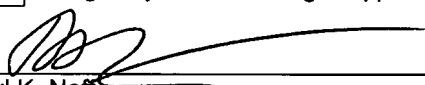
### TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

| CLAIMS AS AMENDED  |                                  |                                |                             |        |        |
|--|----------------------------------|--------------------------------|-----------------------------|--------|--------|
|  | Claims Remaining After Amendment | Highest Number Previously Paid | Number Extra Claims Present | Rate   |        |
| Total Claims   | 17                               | - 20                           | 0                           |        |        |
| Independent Claims   | 4                                | - 3                            | 0                           | 200 00 | 200 00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> |                                  |                                |                             |        |        |
| Other fee (please specify):  |                                  |                                |                             |        |        |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:                                 |                                  |                                |                             |        | 200 00 |

- ☒ Large Entity ☐ Small Entity
- ☐ No additional fee is required for this amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **09-0458**  
as described below. A duplicate copy of this sheet is enclosed.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

  
Daryl K. Neff  
Attorney Reg. No.: 38,253

Dated: **November 19, 2007**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: MS Amendment, Commissioner for Patents, Alexandria, VA 22313-1450.

Dated: November 19, 2007

Signature: 

(Daryl K. Neff)



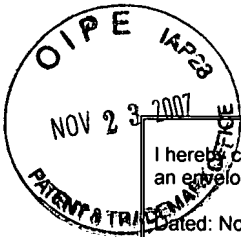
**Please Acknowledge & Return**

Date Mailed November 19, 2007  
Express Mail Cert# \_\_\_\_\_  
First Class Cert. Of Mailing \_\_\_\_\_  
Docket No. FIS920040064US1  
Filing Date 09/10/2004 SN 10/711,316  
Inventors Edward E. Kelley et al.  
Title System and Method for Predicting Results of  
Chance Events Over a User-Selected Number of Events

| Atty. _____         | # of Pages                 |
|---------------------|----------------------------|
| _____ Application   | _____ Transmittal Sheet    |
| _____ Assignment    | _____ Spec/Claims/Abstract |
| No. of Claims _____ | _____ Drawings             |
|                     | _____ Declaration/POA      |

|                       |                         |
|-----------------------|-------------------------|
| _____ PCT Application | _____ Transmittal       |
|                       | _____ PCT Request       |
|                       | _____ Fee Sheet         |
|                       | _____ Power of Attorney |
|                       | _____ Description       |
|                       | _____ Abstract          |
|                       | _____ Claims            |
|                       | _____ Drawings          |

|  |                       |
|--|-----------------------|
| _____ IDS - # of pages _____             | # of references _____ |
| ✓ _____ Amendment - # of pages <u>12</u> |                       |
| _____ Issue Fee - # of pages _____       |                       |
| ✓ _____ Other Transmittal                |                       |



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Dated: November 19, 2007

Signature

(Daryl K. Neff)

| IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  |  |
|---|--|
| In re application of:<br><b>Edward E. Kelley et al.</b>   | Date: <b>November 19, 2007</b>   |
| Serial Number: <b>10/711,316</b>  | Examiner: <b>Christopher H. Bond</b>   |
| Filed: <b>September 10, 2004</b>  | Group Art Unit: <b>3714</b>  |
|   | <b>Conf. #5315</b>   |
| Title: <b>SYSTEM AND METHOD FOR<br/>PREDICTING RESULTS OF CHANCE<br/>EVENTS OVER A USER-SELECTED<br/>NUMBER OF EVENTS</b> | Kerry B. Goodwin<br>IBM Corporation<br>D/18G, B/321, Zip 482<br>2070 Route 52<br>Hopewell Junction, NY 12533 |

### AMENDMENT

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated August 17, 2007, applicants submit the following amendments and remarks for the Examiner's consideration.

11/23/2007 HDESTA1 00000089 090458 10711316

01 FC:1201 210.00 DA

FIS920040064US1